

Registration in the Financial Services Election Committee Subsector (“SS”)

1. Who is eligible to be registered

Your body is eligible to be registered if your body satisfies the requirements as set out in row (A) and (B) in the table below:

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| (A) Bodies eligible to register as voters | <p>(Section 39G of the Schedule to Cap. 569)</p> <p>(a) Any body that is licensed under the Securities and Futures Ordinance (Cap. 571), and—</p> <ul style="list-style-type: none">(i) is entitled to vote at the specified authority (i.e. either the Council or Board of Directors as specified by the President of the company) of The Chinese Securities Association of Hong Kong Company Limited;(ii) is entitled to vote at the Board of Hong Kong Securities Professionals Association Limited;(iii) is entitled to vote at the Committee of The Institute of Securities Dealers Limited;(iv) is entitled to vote at the Board of Hong Kong Securities Association Limited;(v) is entitled to vote at the Executive Committee of The Hong Kong Association of Online Brokers Limited;(vi) is entitled to vote at the Executive Committee of The Hong Kong Institute of Financial Analysts and Professional Commentators Limited;(vii) is entitled to vote at the Council of Hong Kong Securities and Futures Professional Association; or(viii) is entitled to vote at the Board of Chinese Futures Association of Hong Kong Company Limited; or <p>(b) Any body that is entitled to vote at the Executive and Supervisory Committee of the Chinese Gold and Silver Exchange.</p> |
| (B) The “3-year operation” requirement | <p>(Section 12(19A) of the Schedule to Cap. 569)</p> <p>A body that has been operating as a body specified in item (A)(a) or (A)(b) above for the 3 years immediately before making its application for registration as a voter for this SS.</p> |

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| (B) The “3-year operation” requirement | (Section 12(23)(c) of the Schedule to Cap. 569) In computing the period for which a body has been operating, if it refers to any entitlement to vote— (i) the body is not required to be so entitled to vote in order to be regarded as having become a body specified for this SS; and (ii) it does not matter whether the body is so entitled to vote throughout the period. |
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2. References to entitlement to vote at general meeting of or specified authority within body

According to section 11A of the Schedule to Cap. 569,

- (1) A reference to an entitlement to vote at a general meeting of a body/ the specified authority* within a body is a reference to an entitlement to vote at the general meeting/ the specified authority as provided by the body’s constitution.
- (2) A body (*first-mentioned body*) is also regarded as being entitled to vote at the specified authority within another body if a natural person who is entitled to vote at that authority—
 - (i) specifies in writing to the Electoral Registration Officer that the natural person represents the first-mentioned body at that authority; and
 - (ii) has substantial connection with the first-mentioned body; and
- (3) If more than one body is specified by the same natural person under item (2)(i) in respect of the specified authority within any other body, only the body last so specified is regarded as being entitled to vote at that authority.

3. Points to note

- (a) If your body meets the eligibilities for registration as described in Part 1, your body may complete and submit the **“Election Committee Subsector Voter (Body) Application for New Registration/Report on Change of Particulars” (REO-SS(B))** to apply for registration in this SS; and
- (b) Please read carefully the notes in the application form, in particular the following:
 - (1) If item (2) of Part 2 above is applicable to your body, i.e. a natural person who represents your body is entitled to vote at the specified authority within another body, the person concerned must complete Part 3 of the application form; and
 - (2) If your body wishes to submit a new application for registration in this SS, you must complete Part 4 of the application form to appoint an authorized representative for the purpose of casting your body’s vote at an election.

*Specified authority, in relation to a body, means any authority within the body (other than a general meeting) the entitlement to vote at which is mentioned in the relevant provision that provides for the specified entities of a SS.